



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

The Application of:

Thomas W. Kleinpeter, et al.

Serial No.

09/693,393

Filing Date:

October 20, 2000

Title

**System and Method for Enabling File Transfers
Executed in a Network Environment by a Software
Program**

BOX Missing Parts

Assistant Commissioner of Patents
Washington, D.C. 20231

Certification Under 37 C.F.R. §1.8

I hereby certify that the documents listed below are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on February 5, 2001.

Janice Pampell
Janice Pampell

RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application which was granted a Filing Date of October 20, 2000, and mailed to us on December 13, 2000, applicants submit herewith:

1. A copy of the Notice to File Missing Parts of Application;
2. An executed Declaration and Power of Attorney;
3. Check in the amount of \$65.00 representing the surcharge for a small entity;
4. An executed Assignment and Recordation Cover Sheet; and
5. Check in the amount of \$40.00 representing the recordation fee for the Assignment document.

The Commissioner is hereby authorized to deduct any deficiency or credit any overpayment to Deposit Account 50-0456 of Gray Cary Ware & Freidenrich LLP.

Respectfully submitted,


Gray Cary Ware & Freidenrich LLP
Attorneys for Applicant

Steven R. Sprinkle
Reg. No. 40,825

Date: February 5, 2001

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|--------------------------|------------------------|
| 09/693,393 | 10/20/2000 | Thomas W. Kleinpeter III | AUDIO1100-1 |

Robert A McLauchlan
Gray Cary Ware & Freidenrich LLP
100 Congress Avenue Suite 1440
Austin, TX 78701

FORMALITIES LETTER



OC000000005617773

Date Mailed: 12/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- Small Entity Statement is unsigned.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

G. Morrison

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/09/2001 BHABTEW 09693393 65.00 OP
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